



## **INITIATION PLAN** **FOR A GEF PROJECT PREPARATION GRANT (PPG)**

**Country: Russian Federation**

Relevant UNDAF Outcome(s) and Indicator(s): *n/a*

Relevant UNDP Strategic Plan 2008-2013 Key Result Area: *Environment and sustainable development*

Expected Project Outcome (s) and Indicator (s): *Scoping and formulation of the full scale UNDP/GEF project for integrated adaptive management of West Bering Sea large marine ecosystem in changing climate.*

Project Output(s) and Indicator(s): *(i) baseline analysis of transboundary issues and gap analysis for LME ICM; (ii) policy and legal and institutional analysis; (iii) stakeholder analysis and stakeholder involvement plan; (iv) demonstration projects design; (v) project scoping and M&E framework.*

Programme Period: 2008-2013  
Programme Component: Environment and energy  
PPG Title: Integrated adaptive management of the West Bering Sea large marine ecosystem in a changing climate  
ATLAS Project ID: 00080493  
PIMS Project ID: **4485**  
Duration: 2012  
Management Arrangement: UNDP Office  
Execution

Total budget:	<b>\$150 000</b>
Allocated resources:	
• GEF	\$150,000
In kind contributions:	
• Russia	\$195,000

**AGREED BY UNDP RESIDENT REPRESENTATIVE / UNDP DIRECTOR:**

Haoliang Xu,  
Deputy Regional Director

Date:

## **Brief Description of Initiation Plan**

The objective of this Project Preparation Grant (PPG) is to develop a full project document for a regional UNDP/GEF project in International Waters focal area addressing sustainable integrate adaptive management of water resources of the West Bering Sea large marine ecosystem in a changing climate. PPG proposal approved by the GEF Secretariat is presented in the Section II. below.

UNDP Programme Office in Russia will lead the project development process and manage the PPG budget. The PPG Atlas budget is presented in the Section III. "Total Budget and Work Plan". UNDP Office in Russia will be responsible for the selection and recruitment of PPG consultants (local and international), arranging travel and meetings, maintaining project disbursements, regular financial reporting to UNDP. A tentative list of local and international consultants to be financed by the PPG is attached to the PPG proposal.

PPG Steering Committees will be established to review and endorse PPG outcomes. Existing national and regional institutional arrangements will be utilized as much as possible for this purpose.

PPG Inception workshop will be conducted during first 2 months of the PPG implementation. The purpose of the PPG Inception workshop will be to introduce all partners, to plan for the project preparation activities, to review the TORs for key experts/consultants and analyze information gaps, to agree on the project coordination mechanisms.

**GEF PPG approved grant document and TBWP** (see Section II. and Section III.)

**Section II. GEF PPG/PCB approved grant document**



**PROJECT PREPARATION GRANT (PPG)**

**PROJECT TYPE: Full-sized Project**

**TYPE OF TRUST FUND: GEF Trust Fund**

**Submission date: 07/09/2011**

**GEF PROJECT ID:**

**GEF AGENCY PROJECT ID: 4485**

**COUNTRY(IES): Russian Federation**

**PROJECT TITLE: Integrated Adaptive Management Of The West Bering Sea Large Marine Ecosystem In A Changing Climate**

**GEF AGENCY(IES): UNDP, (select), (select)**

**GEF FOCAL AREA(s): International Waters**

**A. PROJECT PREPARATION TIMEFRAME**

Start date of PPG	01/01/2012
Completion date of PPG	12/01/2012

**B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)**

Describe the PPG activities and justifications: The objective of the PPG is to prepare the groundwork and identify knowledge gaps essential for the scoping and formulation of the Full-Sized Project (FSP). Project preparation activities will be needed for the following purposes: Baseline identification, assessment and agreement on priority TB issues.; Baseline assessment of current climate change adaptation measures, including preliminary assessment of impacts and adaptation needs; Inventory of national scientific data collection and research capacities in natural resources and climate change; Preliminary analysis of current national policy, legal and institutional capacity in LME, ICM and ecosystem-based management to determine scope, budget and timeframe for targeted joint regional interventions; Identification and involvement of major national and regional stakeholders through a preliminary stakeholder analysis and involvement plan; Reach agreement among government, partners and stakeholders on the details, budget and allocation of co-financing for targeted demonstration projects, including the Marine Electronic Highway for the Bering Sea and Bering Straits, (jointly with IMO), fisheries management and ICM demos and environmental awareness and education programmes; Reach agreement on the overall project scope, components, outcomes, and outputs. This will require various stakeholder consultations in the project countries, specialist expert advice, and dialogue with donors and partners for the securing of co-funding and decision on final allocations to components/activities.

List of Proposed Project Preparation Activities	Output of the PPG Activities	Trust Fund	Grant Amount (a)	Co-financing (b)	Total c = a + b
1. Baseline study of priority TB issues, Inventory of national scientific data, gaps analysis, adaptation measures needs assessment.	Preliminary analysis and findings report  Capacity needs assessment and findings report	GEF TF	45,000	60,000	105,000
2. Preliminary policy, legal and institutional	Capacity analysis and needs report.	GEF TF	15,000	25,000	40,000

capacity analysis LME, ICM and ecosystem-based management.					
3. Identification and involvement of major national and regional stakeholders	Preliminary Stakeholders Analysis and involvement plan.	GEF TF	15,000	15,000	30,000
4. Definition of the programme of targeted demonstration projects	A fully developed pilot demonstration documents with workplan, budget and co-financing for targeted demonstration projects	GEF TF	60,000	75,000	135,000
5. Identification and agreement on project activities and financing. Establishing partnership.	Countries agreement and on Project Activities, outputs and government co-financing. Agreement with partners on project activities and funding, scope and associated co-financing of those project activities done by donors. Letters of Commitment for the identified co-financing from project partners.	GEF TF	15,000	20,000	35,000
<b>Total Project Preparation Financing</b>			<b>150,000</b>	<b>195,000</b>	<b>345,000</b>

**C. FINANCING PLAN SUMMARY FOR PROJECT PREPARATION GRANT: (\$)**

	Project Preparation	Agency Fee
Grant Amount	150,000	13,500
Co-financing	195,000	
<b>Total</b>	<b>345,000</b>	<b>13,500</b>

**D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)<sup>1</sup>**

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
GEF TF	UNDP	International Waters	Russian Federation	150,000	13,500	163,500
<b>Total PPG Amount</b>				<b>150,000</b>	<b>13,500</b>	<b>163,500</b>

<sup>1</sup> No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.


**E. PPG BUDGET**

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	120.00	60,000	65,000	125,000
International consultants*	16	48,000	75,000	123,000
Travel		20,000	25,000	45,000
Consultations, logistics		22,000	30,000	52,000
				0
				0
<b>Total PPG Budget</b>		150,000	195,000	345,000

\* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A. Travels: Travels within the region of country experts, and of the senior advisor(s) will be essential for the conduct of the PPG. They will include also field checks, limited geological observations, and meetings with country officials and potential partners, including donors. The GEF share will cover primarily international air travel costs within the region.

#### F. GEF AGENCY(IES) CERTIFICATION

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Yannick Glemarec, Executive Coordinator, UNDP-GEF		September 7, 2011	Vladimir Mamaev Regional Technical Advisor	+421 25 9337 267	vladimir.mamaev@undp.org

**Consultants Financed by the Project Preparation Grant (PPG)**

<b>Type of Consultant</b>	<b>Position / Titles</b>	<b>\$/ Person Week<sup>1</sup></b>	<b>Estimated PWs<sup>2</sup></b>	<b>Tasks to be Performed</b>
Local	Policy, Legal And Institutional Expert	500	40.00	Collect and analyze information on existing policies, legal and regulatory framework for natural resources, ICM and ecosystem-based management in the WBSLME; identify deficiencies in the policy and legal framework; identify institutional capacity gaps and capacity building needs for implementation of the FSP.
Local	Specialist In Fisheries, Icm And Ecosystem-Based Management	500	40.00	Baseline identification and assessment of priority TB issues; Assess capacity constraints and capacity building needs in national scientific data collection and research capacities; Identify effective and sustainable mechanisms for cooperative ICM and natural resource management to inform scoping of targeted demonstration projects.
International	Senior Advisor, Expert In Fisheries, Icm And Ecosystem-Based Management;	3000	8.00	Provide guidance to the national consultants, integrate international best practices into FSP design; assess technical feasibility of recommended activities; assess cost-effectiveness and incrementality of proposed FSP activities; assess sustainability of proposed FSP activities and provide recommendations for ensuring sustainability of project outcomes; provide recommendations for capacity building and stakeholder engagement activities planned for the FSP; define full project logical framework and a set of logframe indicators.
Local	Arctic Climate Change Specialist	500	40.00	Baseline assessment of current climate change adaptation measures, including preliminary assessment of impacts and adaptation needs; Inventory of national scientific data collection and research capacities ; Identify effective and sustainable mechanisms for adaptive ICM and natural resource management to inform scoping of targeted demonstration projects.
International	Marine Electronic Highway Expert	3000	8.00	Provide guidance to thye national team in developing the concept of the MEH, interaction with national navigation authorities, define logical framewiork and relevant indicators for the MEH targeted demonstration project.

<sup>1</sup> Provide dollar amount per person week.

<sup>2</sup> Provide person weeks needed to carry out the task

### Section III. Total Budget and Work Plan

<b>Award ID:</b>	00063294
<b>Award Title:</b>	PIMS 4485 IW PPG Russia: Integrated Adaptive Management Of The West Bering Sea Large Marine Ecosystem In A Changing Climate
<b>Business Unit:</b>	RUS 10
<b>Project Title:</b>	PIMS 4485 IW PPG Russia: Integrated Adaptive Management Of The West Bering Sea Large Marine Ecosystem In A Changing Climate
<b>Project ID: PIMS no. 4485</b>	00080493
<b>Implementing Partner (Executing Agency)</b>	UNDP

GEF Outcome/Atlas Activity	Responsible Party/Implementing Agent	Fund ID	Donor Name	Atlas Budgetary Account Code	ATLAS Budget Description	Amount Year 1 (USD)	Total (USD)	See Budget Note:
<b>OUTCOME 1:</b> Scoping and formulation of a full scale GEF project document "Integrated Adaptive Management Of The West Bering Sea Large Marine Ecosystem In A Changing Climate"	UNDP	62000	GEF	71200	International Consultants	48 000	48 000	1
				71300	Local Consultants	60 000	60 000	2
				71600	Travel	20 000	20 000	3
				74500	Miscellaneous	22 000	22 000	4
					<b>sub-total GEF</b>		<b>150 000</b>	<b>150 000</b>
<b>PROJECT TOTAL</b>					<b>Total Outcome 1</b>	<b>150 000</b>	<b>150 000</b>	
						<b>150 000</b>	<b>150 000</b>	

**Summary of Funds:** <sup>1</sup>

<b>GEF</b>	150 000	150 000
<b>National partners in-kind/cash</b>	195 000	195 000
<b>TOTAL</b>	<b>345 000</b>	<b>345 000</b>

**Budget notes**

1	(a) International Senior Advisor: Expert In Fisheries, Icm And Ecosystem-Based Management (\$3,000x8 weeks = \$24,000); (b) International Marine Electronic Highway Expert (\$3,000x8 weeks = \$24,000)
2	(a) Policy, legal and institutional expert (\$500x40 weeks=\$20,000); (b) Specialist In Fisheries, Icm And Ecosystem-Based Management (\$500x40 weeks=\$20,000); (c) Arctic Climate Change Expert (\$500x40 weeks=\$20,000).
3	Travel for stakeholder consultations and design of demo projects.
4	Logistic and consultations costs, translation.

<sup>1</sup> Summary table should include all financing of all kinds: GEF financing, cofinancing, cash, in-kind, etc. etc